

32692
Customer Number

Patent
Case No.: 56096US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: KENNER, MARTIN A.
Application No.: 09/821190 Confirmation No.: 4518
Filed: March 29, 2001
Title: CONTENT RECIPIENT ACCESS TO SOFTWARE NOTES
POSTED AT CONTENT PROVIDER SITE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</p> <p>I hereby certify that this correspondence is being:</p> <p><input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.</p> <p>_____ Date</p> <p>_____ Signed by:</p>

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees:

☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy of this sheet marked duplicate is also enclosed.

☒ Any required fee will be paid at the time of EFS-Web submission.

☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

April 17, 2007
Date

By: Steven A. Bern

Steven A. Bern, Reg. No.: 57,095

Telephone No.: 651-733-2255

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number**09/821190****Filing Date****March 29, 2001****First Named Inventor****Kenner, Martin A.****Confirmation Number****4518****Attorney Case Number****56096US002****U.S. Patent Documents**

Exam. Init. *	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,638,501	06/10/1997	Gough et al.	
	A2	US- 5,805,163	09/08/1998	Bagnas	
	A3	US- 5,898,432	04/27/1999	Pinard	
	A4	US- 6,714,222	03/30/2004	Bjorn et al.	
	A5	US- 6,834,306	12/21/2004	Tsimelzon	
	A6	US- 2002/0010922	01/24/2002	Darin et al.	
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry Code	Number-Kind Code (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Peter Daifuku, "A Fully Functional Implementation of Layered Windows", The X Resource, Vol. 5, No. 1, ISBN 1-56592-020-1, pp. 239 - 249, 1993, url = citeeiser.is.psu.edu/daifuku93fully.html.	
	C2	Transparent Layered User Interfaces: An Evaluation of a Display Design to Enhance Focused and Divide, Conf. On Human Factors in Computing Systems Archive Proc. Of the SIGCHI conf. on Human Factors in computing systems table of contents, Denver, Colorado, US, pp. 317 - 324, 1995, isbn: 0-201-84705-1	
	C3		

Examiner:*Date Considered:**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.